

PCT/PTO 13 JUN 1997
871-31TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. Application No. (If known, see 37 C.F.R. 1.5)

08/817,689

International Application No.

International Filing Date

Priority Date Claimed

PCT/FR95/01333

12 October 1995

12 October 1994 et al

Title of Invention

INTELLIGENT DIGITAL AUDIOVISUAL PLAYBACK SYSTEM

Applicant(s) For DO/EO/US

NATHAN et al

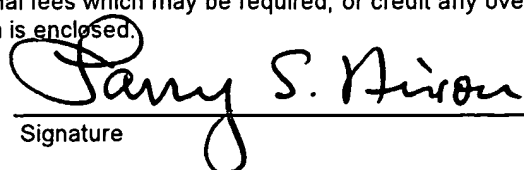
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371 (c)(2)).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
11. The above checked items are being transmitted:
 - a. ☐ before the 18th month publication.
 - b. ☐ after publication and the Article 20 communication but before 20 months from the priority date.
 - c. ☐ after 20 months.
 - d. ☐ by 30 months and a proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 - e. ☐ after 30 months.

Note: Petition to revive (37 CFR 1.137(a) or (b)) is necessary if 35 U.S.C. 371 requirements submitted (1) after 20 months and no proper demand for International Preliminary Examination was made by 19 months from the earliest claimed priority date, or (2) after 30 months and a proper demand for International preliminary Examination was made by 19 months from the earliest claimed priority date.
12. At the time of transmittal, the time limit for amending claims under Article 19
 - a. ☐ has expired and no amendments were made.
 - b. ☐ has not yet expired.
13. ☒ Certain requirements under 35 U.S.C. 371 were previously submitted by the applicant on April 11, 1997, namely:
Application papers and filing fees
Preliminary Amendment

Items 14. to 19. below concern other document(s) or information included:

14. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
15. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
16. ☐ A **FIRST** preliminary amendment.
17. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
18. ☐ A substitute specification.
19. ☐ A change of power of attorney and/or address letter.

19. <input checked="" type="checkbox"/> Other items or information: Form PCT/DO/EO 905 (Notification of Missing Requirements...)									
20. <input checked="" type="checkbox"/> The following fees are submitted:							CALCULATIONS		PTO USE ONLY
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): - Search Report has been prepared by the EPO or JPO \$910.00 - International preliminary examination fee paid to USPTO (37 CFR 1.492)..... \$700.00 - No international preliminary examination fee paid to USPTO (37 CFR 1.492) but international search fee paid to USPTO (37 CFR 1.445 (a)(2))..... \$770.00 - Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,040.00 - International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provision of PCT Article 33(1) to (4) \$96.00									
ENTER APPROPRIATE BASIC FEE AMOUNT =							\$		
Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than									
[] 20 [] 30 mos. from the earliest claimed priority date (37 CFR 1.492(e)).							\$		
CLAIMS		NUMBER FILED		NUMBER EXTRA		RATE			
Total Claims				- 20 =		0		X	\$ 22.00
Independent Claims				- 3 =		0		X	\$ 80.00
Multiple Dependent Claim(s) (if applicable)								+ \$260.00	\$
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110/1 month; \$390/2 months; \$930/3 months)							\$		
TOTAL OF ABOVE CALCULATIONS =							\$	0.00	
Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also. (Note 37 CFR 1.9, 1.27, 1.28).							\$		
SUBTOTAL =							\$	0.00	
Processing fee of \$130.00, for furnishing the English Translation later than									
[] 20 [] 30 mos. from the earliest claimed priority date (37 CFR 1.492(f)).							\$		
TOTAL NATIONAL FEE =							\$	0.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							\$	40.00	
Fee for Petition to Revive Unintentionally Abandoned Application= \$1,290- Small Entity Fee= 645.00							\$		
TOTAL FEES ENCLOSED =							\$	40.00	
							Amount to be refunded		\$
							Charged		\$
a. <input checked="" type="checkbox"/> A check in the amount of.....\$ <u>40.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$ _____ to cover the above fees. A duplicate copy of this form is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>14-1140</u> . A <u>duplicate</u> copy of this form is enclosed.									
SEND ALL CORRESPONDENCE TO: NIXON & VANDERHYE P.C. 1100 North Glebe Road, 8th Floor Arlington, Virginia 22201 Telephone: 703-816-4000									
<div style="text-align: right;">  Signature Larry S. Nixon Name </div>									
<div style="display: flex; justify-content: space-between;"> 25,640 June 13, 1997 </div> <div style="display: flex; justify-content: space-between;"> Registration Number Date </div>									

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office.
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

U.S. APPLICATION NO. 08/817,689 FIRST NAMED APPLICANT NATHAN ATTY. DOCKET NO. 871-31

LARRY NIXON
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1100 NORTH GLEBE ROAD 8TH FLOOR
ARLINGTON VA 22201

5611

INTERNATIONAL APPLICATION NO.

PCT/FR95/01333

I.A. FILING DATE

PRIORITY DATE

10/12/95

07/11/95

DATE MAILED:

06/06/97

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

☐ a Designated Office (37 CFR 1.494),

☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☒ a non-English language.

☐ English.

☒ Translation of the international application into English.

☐ Oath or Declaration of inventor(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☐ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 11/2/97 and 11/2/97.

☐ Information Disclosure Statement(s) filed _____ and _____.

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed _____.

☐ Verified Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☐ and copies of the references cited therein.

☐ Other:

2. The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes MUST be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: ☒ PCT/DO/EO/917 ☐ Notice of Defective Translation

☐ PTO-875

FO: PCT/DO/EO/905 (September 1996)

Telephone: (703) 305.3153